



# Integrating Temporal Response Function Estimation with Sparse Source Localization

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#### **Encoding Model of Speech Processing**



Linear filter model:

predict the M/EEG response from continuous stimulus

Functional Role:

- Different peaks are associated with different modes of processing
- M50 (c.f. EEG P1): encoding of acoustic-level features
- M100 (c.f. EEG N1): encoding modulated by attention

#### Beyond acoustic processing:

- phoneme level processing
- lexical processing
- semantic processing

Di Liberto et. al., 2015 Brodbeck et. al., 2018 Broderick et. al., 2018



**Cortical Origins?** 

# Cortical Origins of TRFs?

Insight from intracranial recordings

- Electrophysiology, electrocorticography
- Limited spatial range

Mesgarani et. al., 2008, 2014

Lalor et. al., 2009

Brodbeck et. al., 2018

Existing M/EEG approaches works in two-stage:

- Estimate the TRFs for each sensor  $\rightarrow$  localize them within cortex
- Decompose MEG signals to source time courses → estimate TRF for each source

Challenges:

Bias propagation, spatio-temporal leakage, sensitivity to forward model mismatch

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#### NCRF Learning: Bayesian Estimation

Measurement noise: Gaussian

$$p(\mathbf{Y}|\mathbf{J}) = |(2\pi)\boldsymbol{\Sigma}_w|^{-T/2} \exp\left(-\frac{1}{2}\|\mathbf{Y} - \mathbf{L}\mathbf{J}\|_{\boldsymbol{\Sigma}_w^{-1}}^2\right)$$

 $\mathcal{N}(\mathbf{0} \mathbf{\Sigma})$ 

Background activity: Gaussian  $\mathcal{N}(\mathbf{0}, \mathbf{\Gamma})$ 

$$p(\mathbf{V}|\mathbf{\Gamma}) = \left(\prod_{m=1}^{M} |(2\pi)\mathbf{\Gamma}_{m}|^{-T/2}\right) \exp\left(-\frac{1}{2}\sum_{m=1}^{M} \|\mathbf{V}_{m}\|_{\mathbf{\Gamma}_{m}^{-1}}^{2}\right)$$
$$p(\mathbf{J}|\mathbf{\Phi},\mathbf{\Gamma}) = |(2\pi)\mathbf{\Gamma}|^{-T/2} \exp\left(-\frac{1}{2}\|\mathbf{J}-\mathbf{\Phi}\mathbf{S}\|_{\mathbf{\Gamma}^{-1}}^{2}\right)$$
not observed

Joint density of measurements (Y) and current dipoles (J):

$$p(\mathbf{Y}, \mathbf{J} | \mathbf{\Phi}, \mathbf{\Gamma}) = |(2\pi) \mathbf{\Sigma}_w|^{-T/2} |(2\pi) \mathbf{\Gamma}|^{-T/2} \exp\left(-\frac{1}{2} \|\mathbf{Y} - \mathbf{L}\mathbf{J}\|_{\mathbf{\Sigma}_w^{-1}}^2 - \frac{1}{2} \|\mathbf{J} - \mathbf{\Phi}\mathbf{S}\|_{\mathbf{\Gamma}^{-1}}^2\right)$$

Marginal density of the measurements given NCRFs ( $\Phi$ ):

$$p\left(\mathbf{Y}|\boldsymbol{\Phi},\boldsymbol{\Gamma}\right) = \left|\left(2\pi\right)\left(\boldsymbol{\Sigma}_{w} + \mathbf{L}\boldsymbol{\Gamma}\mathbf{L}^{\top}\right)\right|^{-T/2} \exp\left(-\frac{1}{2}\|\mathbf{Y} - \mathbf{L}\boldsymbol{\Phi}\mathbf{S}\|_{\left(\boldsymbol{\Sigma}_{w} + \mathbf{L}\boldsymbol{\Gamma}\mathbf{L}^{\top}\right)^{-1}}^{2}\right)$$

Maximum likelihood estimate of NCRFs ( $\Phi$ ):

$$\min_{\mathbf{\Phi}} \quad \frac{1}{2} \|\mathbf{Y} - \mathbf{L} \mathbf{\Phi} \mathbf{S}\|_{(\mathbf{\Sigma}_w + \mathbf{L} \mathbf{\Gamma} \mathbf{L}^\top)^{-1}}^2$$

#### NCRF Learning: Bayesian Estimation

Measurement noise: Gaussian

Baussian 
$$\mathcal{N}(\mathbf{0}, \mathbf{\Sigma}_w)$$
  
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Background activity: Gaussian  $\mathcal{N}(\mathbf{0}, \mathbf{\Gamma})$ 

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Maximum likelihood estimate of NCRFs ( $\boldsymbol{\Phi}$ ):  
$$\min_{\boldsymbol{\Phi}} \qquad \frac{1}{2} \|\mathbf{Y} - \mathbf{L}\boldsymbol{\Phi}\mathbf{S}\|_{(\boldsymbol{\Sigma}_{w} + \mathbf{L}\boldsymbol{\Gamma}\mathbf{L}^{\top})^{-1}}^{2}$$

Maximum likelihood estimate:

$$\min_{\mathbf{\Phi}} \quad \frac{1}{2} \|\mathbf{Y} - \mathbf{L} \mathbf{\Phi} \mathbf{S}\|_{(\mathbf{\Sigma}_w + \mathbf{L} \mathbf{\Gamma} \mathbf{L}^\top)^{-1}}^2$$

But

NCRF estimates contain high frequency noise



Maximum likelihood estimate:

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But

NCRF estimates contain high frequency noise

Solution: Represent  $\Phi$  using a Gabor dictionary, G NCRF dictionary coefficients

$$egin{array}{c} \mathbf{\Phi} = \mathbf{\Theta} \mathbf{G}^{ op} \ \widetilde{\mathbf{S}} := \mathbf{G}^{ op} \mathbf{S} \end{array}$$

$$\min_{\Theta} \qquad \frac{1}{2} \|\mathbf{Y} - \mathbf{L}\boldsymbol{\Theta}\widetilde{\mathbf{S}}\|_{\boldsymbol{\Sigma}_w + \mathbf{L}\boldsymbol{\Gamma}\mathbf{L}^{\top - 1}}$$



 $\theta_{\tilde{L}} \times$  \_\_\_\_\_\_

P. Das, C. Brodbeck, J. Z. Simon, and B. Babadi, NeuroImage, 2020

Maximum likelihood estimate:

$$\min_{\mathbf{\Phi}} \quad \frac{1}{2} \|\mathbf{Y} - \mathbf{L} \mathbf{\Phi} \mathbf{S}\|_{(\mathbf{\Sigma}_w + \mathbf{L} \mathbf{\Gamma} \mathbf{L}^\top)^{-1}}^2$$

But

NCRF estimates contain high frequency noise -> Use Gabor dictionary representation



Maximum likelihood estimate:

$$\min_{\Theta} \qquad \frac{1}{2} \|\mathbf{Y} - \mathbf{L} \mathbf{\Theta} \widetilde{\mathbf{S}}\|_{\mathbf{\Sigma}_w + \mathbf{L} \mathbf{\Gamma} \mathbf{L}^{\top - 1}}$$

#### But

- ▶ NCRF estimates contain high frequency noise → Use Gabor dictionary representation
- It is an ill-posed problem

 $N \sim 10^2 << M \sim 10^4$ 

Incorporate prior knowledge

Maximum likelihood estimate:

$$\min_{\Theta} \qquad \frac{1}{2} \|\mathbf{Y} - \mathbf{L}\boldsymbol{\Theta}\widetilde{\mathbf{S}}\|_{\boldsymbol{\Sigma}_w + \mathbf{L}\boldsymbol{\Gamma}\mathbf{L}^{\top}^{-1}}$$

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 $N \sim 10^2 << M \sim 10^4$ 

Incorporate prior knowledge:

- Most of brain does not process this stimulus Enforce spatial sparsity
- TRFs dominated by peaks and troughs Enforce lag-domain sparsity
- Dipole currents are vectors
  Enforce coordinate rotational invariance

Maximum likelihood estimate:

$$\min_{\Theta} \qquad \frac{1}{2} \|\mathbf{Y} - \mathbf{L}\boldsymbol{\Theta}\widetilde{\mathbf{S}}\|_{\boldsymbol{\Sigma}_w + \mathbf{L}\boldsymbol{\Gamma}\mathbf{L}^{\top - 1}}$$

But

- $\rightarrow$  NCRF estimates contain high frequency noise  $\rightarrow$  Use Gabor dictionary representation
- ► It is an ill-posed problem  $\longrightarrow$  Enforce spatial and lag-domain sparsity  $N \sim 10^2 \iff M \sim 10^4$

Incorporate prior knowledge:

Most of brain does not process this stimulus

Enforce spatial sparsity

- ► TRFs dominated by peaks and troughs Enforce lag-domain sparsity  $\mathcal{P}_{2,1,1}(\Theta) = \sum_{m} \sum_{l} \|\boldsymbol{\theta}_{m,l}\|_{2}$ 
  - Dipole currents are vectors
    Enforce coordinate rotational invariance



Maximum likelihood estimate:

$$\min_{\boldsymbol{\Theta}} \frac{1}{2} \| \mathbf{Y} - \mathbf{L} \boldsymbol{\Theta} \widetilde{\mathbf{S}} \|_{(\boldsymbol{\Sigma}_w + \mathbf{L} \boldsymbol{\Gamma} \mathbf{L}^\top)^{-1}}^2 + \eta \mathcal{P}_{2,1,1}(\boldsymbol{\Theta})$$

But

- NCRF estimates contain high frequency noise  $\rightarrow$  Use Gabor dictionary representation ►
- It is an ill-posed problem  $\longrightarrow$  Enforce spatial and lag-domain sparsity Nuisance covariance ( $\Gamma$ ) is unknown  $\longrightarrow$  Estimate  $\Gamma$  jointly with  $\Theta$ ►
- ►

Estimate  $\Gamma$  jointly with  $\Theta$ :

$$\min_{\boldsymbol{\Theta},\boldsymbol{\Gamma}} \qquad \frac{T}{2} \log \left| \boldsymbol{\Sigma}_w + \mathbf{L} \boldsymbol{\Gamma} \mathbf{L}^{\top} \right| + \frac{1}{2} \| \mathbf{Y} - \mathbf{L} \boldsymbol{\Theta} \widetilde{\mathbf{S}} \|_{(\boldsymbol{\Sigma}_w + \mathbf{L} \boldsymbol{\Gamma} \mathbf{L}^{\top})^{-1}}^2 + \eta \mathcal{P}_{2,1,1}(\boldsymbol{\Theta})$$

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Maximum likelihood estimate:

►

$$\begin{split} \min_{\boldsymbol{\Theta},\boldsymbol{\Gamma}} \quad & \frac{T}{2} \log \left| \boldsymbol{\Sigma}_w + \mathbf{L} \boldsymbol{\Gamma} \mathbf{L}^\top \right| + \frac{1}{2} \| \mathbf{Y} - \mathbf{L} \boldsymbol{\Theta} \widetilde{\mathbf{S}} \|_{(\boldsymbol{\Sigma}_w + \mathbf{L} \boldsymbol{\Gamma} \mathbf{L}^\top)^{-1}}^2 + \eta \mathcal{P}_{2,1,1}(\boldsymbol{\Theta}) \end{split}$$
But

- NCRF estimates contain high frequency noise  $\rightarrow$  Use Gabor dictionary representation ►
  - It is an ill-posed problem  $\longrightarrow$  Enforce spatial and lag-domain sparsity Nuisance covariance ( $\Gamma$ ) is unknown  $\longrightarrow$  Estimate  $\Gamma$  jointly with  $\Theta$
- ►
- Hard to solve the non-convex problem ►

Maximum likelihood estimate:

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- ►
- Hard to solve the non-convex problem  $\longrightarrow$  Champ Lasso algorithm ►



Code available on Github

#### MEG Data:

Brodbeck & Simon, 2018

- 17 young-adult participants.
- ▶ Two 60-second segments from 'The Legend of Sleepy Hollow' by W. Irving.
- 3 repetitions for each segment.



No MRI was available:

'fsaverage' morphed in individual head shape













#### Semantic composition:



- Bilateral auditory component at ~ 155 ms, late auditory component at ~ 475 ms.
- Auditory-frontal dynamics at ~ 175-210 ms (  $rMT_{sc} \rightarrow rF2_{sc} \rightarrow rF1_{sc} \rightarrow rF2_{sc}$ ).

#### Summary

- NCRFs: A tool for directly extracting the cortical dynamics that underlie continuous stimulus processing from MEG.
- Novel spatiotemporal prior that not only combats overfitting and spatio-temporal dispersion but is also robust in absence of MR scan.
- The NCRFs are readily interpretable in a without post-hoc processing.

NCRFs as a powerful source localization tool for continuous stimulus experiments.

# Thank you!

