

# Detection of Interaural Time Differences in the Alligator

Catherine E. Carr,<sup>1,2</sup> Daphne Soares,<sup>2</sup> Jean Smolders,<sup>3</sup> and Jonathan Z. Simon<sup>2</sup>

<sup>1</sup>Department of Biology and <sup>2</sup>Neuroscience and Cognitive Science Program, University of Maryland, College Park, Maryland 20742-4415, and <sup>3</sup>Klinikum der J. W. Goethe Universität, Zentrum der Physiologie, 60590 Frankfurt am Main, Germany

The auditory systems of birds and mammals use timing information from each ear to detect interaural time difference (ITD). To determine whether the Jeffress-type algorithms that underlie sensitivity to ITD in birds are an evolutionarily stable strategy, we recorded from the auditory nuclei of crocodylians, who are the sister group to the birds. In alligators, precisely timed spikes in the first-order nucleus magnocellularis (NM) encode the timing of sounds, and NM neurons project to neurons in the nucleus laminaris (NL) that detect interaural time differences. *In vivo* recordings from NL neurons show that the arrival time of phase-locked spikes differs between the ipsilateral and contralateral inputs. When this disparity is nullified by their best ITD, the neurons respond maximally. Thus NL neurons act as coincidence detectors. A biologically detailed model of NL with alligator parameters discriminated ITDs up to 1 kHz. The range of best ITDs represented in NL was much larger than in birds, however, and extended from 0 to 1000  $\mu$ s contralateral, with a median ITD of 450  $\mu$ s. Thus, crocodylians and birds employ similar algorithms for ITD detection, although crocodylians have larger heads.

## Introduction

Interaural time difference (ITD) processing has been explained in terms of the Jeffress model (Jeffress, 1948; Joris et al., 1998; Fitzpatrick et al., 2002; Konishi, 2003; Palmer, 2004; Hyson, 2005; Joris and Yin, 2007). This model assumes arrays of coincidence-detector neurons that receive excitatory inputs from the two ears, and respond maximally when phase-locked inputs converge from each ear simultaneously. Different conduction delays from each ear provide a means by which coincidence detectors form a place map of sound location. For nucleus laminaris (NL), the ITD-processing structure in birds, the existence of such an arrangement has been confirmed in barn owls, emus, and chickens (Carr and Konishi, 1990; Overholt et al., 1992; Peña et al., 1996; Funabiki et al., 1998; MacLeod et al., 2006).

In the mammalian equivalent of NL, the medial superior olive (MSO), direct confirmation of a place map is lacking. Instead, recent work in guinea pigs and gerbils has revealed a tendency for the steepest region of the ITD tuning curve (its slope) to fall close to midline regardless of best frequency (McAlpine et al., 2001). McAlpine and colleagues proposed that sound source location might instead be encoded by activity in two broad, hemispheric spatial channels (McAlpine and Grothe, 2003; McAlpine, 2005), and put forward a potential solution to the differences in coding

observed in birds and small mammals (Harper and McAlpine, 2004). Assuming that the ITDs naturally encountered should be coded with maximal accuracy, they suggested that ITD coding should depend on head size and frequency range. A place map, collectively covering the physiological ITD range of the animal, and consistent with the Jeffress model, would work best for high frequencies and/or large heads, while the two-channel model would provide optimum coding for small heads and lower best frequencies.

The prediction that animals with small heads and/or low-best-frequency hearing should not use a place code was not supported by recent data from the chicken. Chickens and gerbils have similar head sizes and ability to encode temporal information, but chickens display the major features of a place code of ITD (Köppl and Carr, 2008). Data from chickens and barn owls (Wagner et al., 2007) suggest instead that evolutionary history may constrain neural circuits. Furthermore, slope and place codes may not be mutually exclusive strategies. Barn owls appear to use both the map of ITD created by the place code, and the information in the slope of the ITD function (Takahashi et al., 2003; Bala et al., 2007).

To test the extent to which Jeffress-type algorithms are used for ITD processing in other vertebrates with well developed low-frequency hearing, we recorded responses of ITD-sensitive neurons in the alligator. Crocodylians are birds' closest living relatives, and use low-frequency sound for communication. Additionally, field observations support their ability to localize the contact calls made by their young (Hunt and Watanabe, 1982; Passek and Gillingham, 1999).

## Materials and Methods

We recorded responses of ITD-sensitive neurons in the American alligator (*Alligator mississippiensis*). We also incorporated a large dataset of auditory nerve recordings from another member of the Alligatoridae, *Caiman crocodylus*, into our models and analysis. Experiments were performed in accordance with the guidelines approved by the Marine Bio-

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Correspondence should be addressed to Catherine E. Carr, Department of Biology and Neuroscience and Cognitive Science Program, University of Maryland, College Park, MD 20742-4415. E-mail: cecarr@umd.edu.

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logical Laboratory (Woods Hole, MA) and the University of Maryland Institutional Animal Care and Use Committees. This material has been presented in abstract form (Soares et al., 1999, 2003).

**Brain slice preparation.** We used *in vitro* slice preparations of alligator embryo (~E55 to E65) brainstem to investigate the physiology and morphology of NL. Thirty eggs from several clutches of newly laid eggs of the American alligator were collected from field nests in the Rockefeller Wildlife Refuge at Grand Chenier, LA. At ~10 d of incubation [total 65 d incubation (Ferguson, 1985)], eggs were transported by air to the Department of Biology at the University of Maryland. Eggs were incubated in boxes containing vermiculite mixed with water at a 2:1 ratio and maintained at 30°C. It is not known when hearing onset in alligators occurs, although it is likely that it occurs before hatch. Hearing onset occurs *in ovo* in chickens (Saunders et al., 1973), while the calls of hatching crocodylians stimulate their mother to return to the nest (Pooley, 1977).

Eggs were taken from the incubation boxes at between 80 and 90% of the total incubation period. Embryos were rapidly decapitated and a segment of the caudal skull containing the brainstem was removed with a razor blade and quickly submerged in artificial CSF (aCSF). aCSF contained the following (in mM): 130 NaCl, 26 NaH<sub>2</sub>CO<sub>3</sub>, 3 KCl, 2 CaCl<sub>2</sub>, 2 MgCl<sub>2</sub>, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, and 10 dextrose. The aCSF was constantly gassed with 95% O<sub>2</sub> and 5% CO<sub>2</sub> and had a pH of 7.4. The brainstem segment was dissected out of the cranium and transferred to a vibrating blade tissue slicer (Campden), where it was mounted with cyanoacrylate glue, supported by a gel solution (30% gelatin in aCSF), and cut in aCSF. Transverse slices (150–300 μm) containing nucleus magnocellularis (NM) and NL were collected and placed in a holding chamber at room temperature (25–27°C) in oxygenated aCSF (95% O<sub>2</sub>–5% CO<sub>2</sub>). Slices were transferred as needed to a submersion-type recording chamber perfused (1 ml/min) with oxygenated aCSF.

Whole-cell patch clamp recordings were made from visually identified NM and NL neurons using infrared/differential interference contrast video microscopy. Initial pipette resistance was 3–7 MΩ, with an internal solution as follows (in mM): 120 K gluconate, 20 KCl, 0.1 EGTA, 2 MgCl, 2 Na<sub>2</sub>ATP, and 10 HEPES, pH 7.3. Pipettes were backfilled with saline containing Lucifer yellow (Invitrogen) or sulforhodamine (Invitrogen). Recordings were made using an Axoclamp-2B (Molecular Devices) in bridge mode and digitized while connected to a PC running PClamp 8.0 (Molecular Devices) acquisition software. Analysis of electrophysiological data was performed using AxonGraph 4.5 software (Molecular Devices). Voltage–current (*V*–*I*) relationships were constructed from a series of hyperpolarizing and depolarizing current injections within the linear range of the membrane potential (approximately ±10 mV of resting potential). Linear regression analysis of *V*–*I* relationships was used to determine the input resistance of each cell.

**In vivo recording in caiman auditory nerve.** Three adult young caimans, length ~1 m, weight ~4 kg, were anesthetized with sodium pentobarbital (20 mg/kg, i.p.). Animals were tracheotomized and artificially respirated with room air and kept at a cloacal temperature between 24 and 27°C. The head was fixed in a stereotaxic holder, and the auditory nerve exposed by an opening in the occipital bone. Experiments were performed in a sound-attenuating box (IAC). Glass microelectrodes (filled with 3 M KCl, 5–100 MΩ) were inserted in the auditory nerve and advanced using a remote-controlled stepper device (Nanostepper). Single-unit activity was amplified, displayed on an oscilloscope, and converted into unitary pulses using a level discriminator. Unitary pulses were stored on a personal computer (Macintosh II, equipped with National Instruments data acquisition interface boards) and an IBM compatible computer equipped with a CED 1401 laboratory interface. Stimulation and recording was controlled by custom-made software (Smolders and Klinke, 1986). A Sennheiser HD 414 headphone was placed at one ear for acoustic stimulation. Acoustic stimuli were generated from a Wavetek F34 waveform generator or from the computer interface boards and amplified through a conventional audio amplifier (Cyrus) and a computer-controlled homemade attenuator (1 dB steps, 0–119 dB). Sound pressure level at the eardrum was calibrated using a Bruel and Kjaer ½ inch condenser microphone (4165) equipped with a calibrated sound probe tube.

Spontaneous firing rate (SR, minimum 10 s), characteristic frequency (CF), and response threshold at CF (CF threshold) were determined either manually using the waveform generator and the attenuator, or from a computer-controlled recording of the response area as a function of the carrier frequency and the sound pressure level of tone burst stimuli (100 ms duration, 2 ms rise and fall time). To determine the strength of phase locking of single units to the acoustic input, continuous tones were presented at and near the CF, while increasing sound pressure level in 10 dB steps, starting 20 dB below CF threshold. At each stimulus presentation, ~1000 action potentials were stored for off-line construction of period histograms. Vector strength of phase locking (VS) for a given stimulus frequency was calculated as the fundamental component of the Fourier transform of the normalized period histograms of at least 555 spikes in 64 bins per period of the sound stimulus [estimated SD of VS <0.06 (Smolders and Klinke, 1986)].

**In vivo recording in alligators.** We used *in vivo* recording from sixteen 2- to 3-year-old juvenile alligators (70–90 cm long) to investigate the physiology and morphology of NM and NL. Head widths from members of this group, measured from earflap to earflap, were 32.8 ± 3.5 mm (*n* = 5). Anesthesia was induced by 4% isoflurane inhalation via a mask, followed by intubation. Body temperature was maintained at 32°C by a heating blanket wrapped around the animal and feedback-controlled by a cloacal temperature probe. EKG recordings from needle electrodes placed in the muscles of the right leg and left forelimb were constantly monitored. A constant gas flow of carbogen mixed with 2–3% isoflurane at 4 ml/min was connected via long loose fitting tube into the trachea. The head was held in a constant position by gluing a stainless-steel head post to the prefrontal bone. An opening in the frontal and parietal bones exposed the brain membranes overlying the cerebellum. In some cases a portion of the cerebellum was aspirated to expose the dorsal surface of the brainstem. Most data were obtained with tungsten microelectrodes, with impedances between 2 and 20 MΩ. We also used glass electrodes for extracellular injection of biotinylated dextran (4% DA, Invitrogen). Electrodes were positioned above the relevant brainstem area using landmarks and then advanced remotely. We continuously tested for auditory responses using a variety of monaural and binaural stimuli. Electrodes were coupled to a preamplifier and amplifier system (μA200, Walsh Electronics), and the amplified signal was filtered (high pass 300 Hz, low pass 10 kHz) and fed to an analog-to-digital converter (TDT DD1) with subsequent event counter (TDT ET1). Both the analog and the TTL signals were stored and processed by custom-written software (xdphys, Caltech). At selected recording sites, biotinylated dextran was deposited iontophoretically, usually by passing 1–2 μA positive direct current for 10–30 min. In other experiments, electrolytic lesions were made by passing 5–10 μA current for 10 s.

Alligators were placed in a sound-attenuating chamber for all measurements (IAC). Closed, custom-made sound systems were placed at the entrance of both ear canals, containing commercial miniature earphones and miniature microphones (Knowles EM 3068). After the sound systems were sealed into the ear canal using Gold Velvet II ear impression material, the sound systems were calibrated individually before the recordings. Acoustic stimuli (clicks and noises) were digitally generated by the same custom-written software as above, driving a signal-processing system (Tucker Davis Technology). Clicks had a rectangular form and duration of two samples (equivalent to 41.6 μs). Only condensation clicks were used. The standard click had 0 dB attenuation relative to 85 dB SPL. Stimuli were generated separately for the two ears by using a TDT AP2 signal processing board. Both channels were then fed to the earphones via D/A converters (TDT DD1), anti-aliasing filters (TDT FT6–2), and attenuators (TDT PA4). Stimuli were tone pips of 100 ms duration (including 5 ms linear ramps), presented at a rate of 5/s. Monaural frequency responses for both ipsilateral and contralateral stimulation were recorded. The mean of the best frequencies (BFs) determined from these was then taken as the frequency for measuring period histograms at 20 dB above threshold and for testing ITD selectivity with binaural stimuli. ITD was tested within ±1 stimulus period, in steps no larger than 1/10 of the period and stimulus durations of 100 ms. Stimulus levels were between 50 and 80 dB SPL and generally 10 stimulus repetitions were presented at each ITD.

**Data analysis.** Analyses suggested an increase in neural thresholds over time with isoflurane anesthesia. Our preliminary observations using auditory brainstem responses (Higgs et al., 2002) had not shown an effect of anesthesia, but we observed an increase in threshold after ~8 h. We therefore only report threshold measurements from the first 6 h of each experiment. Monaural measures of best frequency were derived by measuring changes in spike rate in response to changing tone pips at constant level. Monaural period histograms were generally measured at 20 dB above threshold, and were constructed from 100 repetitions of the tone pip. The timing of each spike relative to the zero-crossing of the stimulus was recorded with a temporal resolution of 2  $\mu$ s. Period histograms, the mean phase with respect to the stimulus, and the vector strength were derived from these data (Goldberg and Brown, 1969). Rayleigh's test was applied to evaluate the statistical significance of the vector strength, using  $p \leq 0.01$  as the criterion value, which corresponds to a vector strength of 0.1 for 500 recorded spikes (Köppl, 1997b). For ITD curves, the mean spike rate was used for single units. ITD functions were measured at best frequency, and were fitted with a cosine function to determine best ITD, defined as the peak closest to zero ITD. In cases where the minimum of the ITD function fell close to zero ITD, click responses were used to resolve the laterality and define the best ITD. Responses to 128 clicks were stored (Wagner et al., 2005). For single-unit recordings, a peristimulus time histogram (PSTH) with a bin width of 0.02 ms was calculated, using the TTL signal. Latency was defined as the earlier of the first two consecutive bins exceeding the tallest bin in a 10 ms interval preceding the stimulus, as in Köppl and Carr (2008). The click-driven neurophonic activity contained an oscillatory response, which was analyzed using the methods in Wagner et al. (2005), modified by Köppl and Carr (2008), who adjusted the cutoff frequencies of the filter functions to a lower frequency range. Fitted waveforms of ipsilateral and contralateral responses were superimposed, and the click latency difference was calculated as the median difference between two and four consecutive maxima and minima, with positive values indicating contralateral leading.

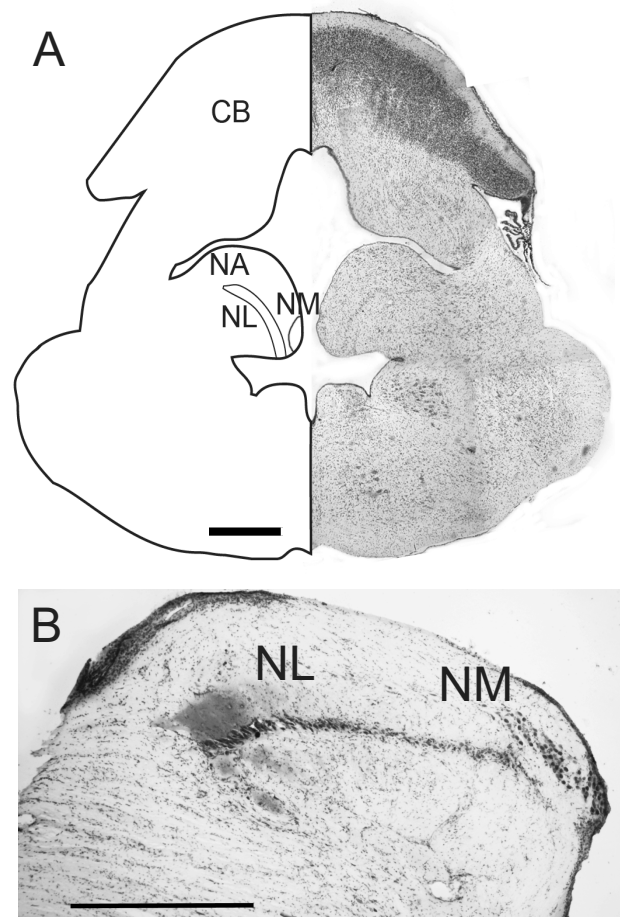
To calculate characteristic delay, ITDs were measured for at least five different frequencies. We developed an empirical criterion for the acceptance or rejection of data at particular frequencies for further analysis (see Köppl and Carr, 2008). For single units, the mean spike rate and SD were determined for each ITD and an index of modulation derived by calculating the difference between minimal and maximal mean rate and dividing it by the maximal SD observed. Data were discarded if this index was  $<1.5$ . Only 21 cases met this criterion; it is possible that longer duration stimuli and more repetitions would have yielded greater modulations over the frequency range required to evaluate characteristic delay. Characteristic phase (CP) was taken to lie between 0.25 and 0.75 cycles.

**Histology.** After injection with pentobarbital sodium and phenytoin sodium (Euthasol; Virbac), alligators were fixed by cardiac perfusion with 4% paraformaldehyde, the brains were extracted and cross-sectioned on a freezing microtome. Biotinylated dextran was visualized using standard ABC (Vector Laboratories) and diaminobenzidine protocols on floating sections. Finally, the sections were serially mounted and counterstained with either cresyl violet (lesions) or neutral red (dextran).

## Results

Since no previous studies had examined ITD coding in the Crocodylia, we designed experiments to address the major requirements for ITD coding. We measured the ability of the first-order NM neurons to encode stimulus phase, which we compared with data from *Caiman* auditory nerve (Smolders and Klinke, 1986). We then performed *in vivo* experiments in alligators to show that NL neurons acted as coincidence detectors and were sensitive to ITD. Finally, we used the alligator data to constrain and adapt models of ITD coding (Grau-Serrat et al., 2003) to determine whether the mechanisms of ITD detection in crocodylians could be similar to those of birds.

The auditory hindbrain nuclei are organized similarly in birds and crocodylians, consistent with their position within the archosaurs (Hedges and Poling, 1999; Carr and Code, 2000; Iwabe et



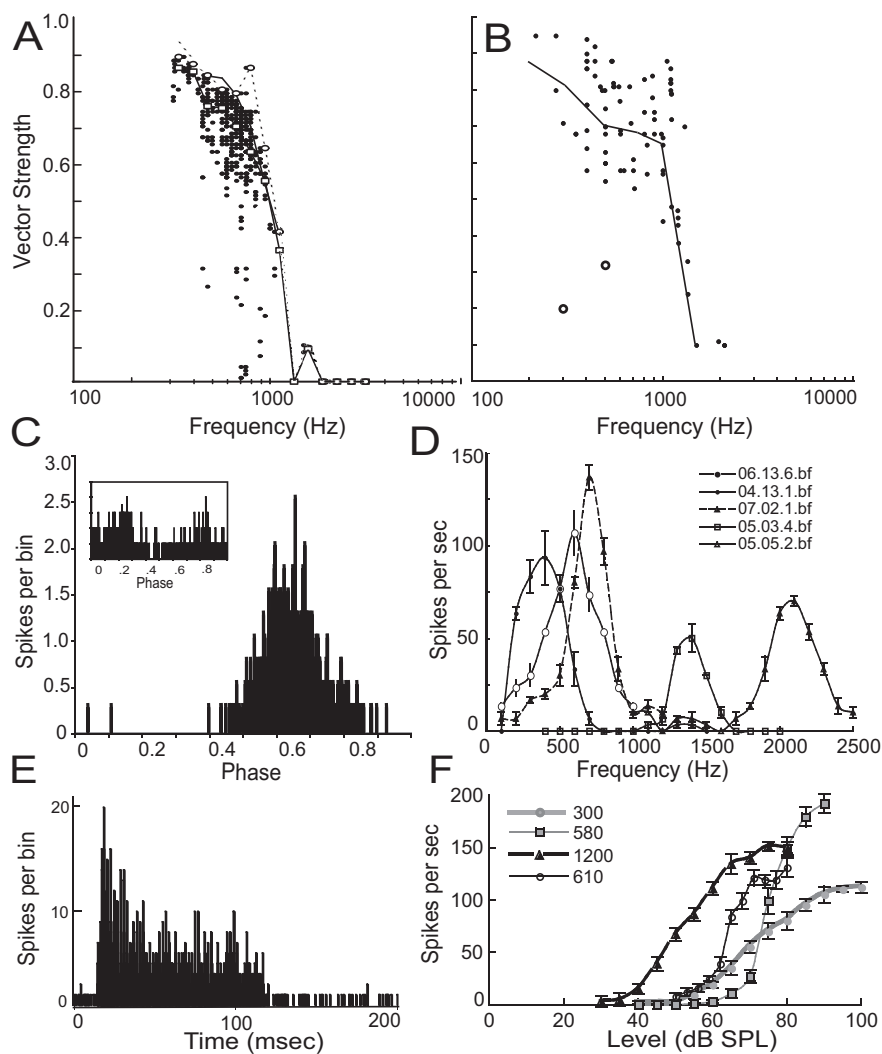
**Figure 1.** Organization of alligator NM and NL. **A**, Matching diagram and photomicrograph of a transverse section through the alligator hindbrain showing the compact layer of bitufted neurons with input from the ipsilateral NM onto the dorsal dendrites, and input from the contralateral ear via the contralateral NM onto the ventral dendrites. NA, Nucleus angularis; CB, cerebellum. Scale bar, 1 mm. **B**, Photomicrograph of a transverse section through NM and NL showing the compact layer of bitufted neurons with input from the ipsilateral NM onto the dorsal dendrites, and input from the contralateral ear via the contralateral NM onto the ventral dendrites. Scale bar, 1 mm.

al., 2005). NM contains large round cell bodies in a nucleus that extends from caudolateral to rostromedial in the dorsal medulla, and is located on either side of the midline, where it forms a compact mass of cells on the dorsal surface of the brainstem, medial to the auditory nerve root (Fig. 1A, B; see Fig. 7G). At the level of the entry of the auditory nerve root, NM expands laterally, while the rostral portion is located in a band of cells medial and dorsal to NL. NL is composed of a compact layer of bitufted neurons, in an oval-shaped nucleus except at its most caudal extent, where it forms a lateral bulge (Fig. 1B). NL is surrounded by fibers from NM, and its dorsal border is composed of afferents from the ipsilateral NM and a small number of afferent axons from the auditory portion of the eighth nerve. The ventral border of NL consists of fibers from the contralateral NM. NM and NL were tonotopically organized; rostromedial NL received highest-frequency inputs ( $>1$  kHz) and caudal NL received lower-frequency inputs (Manley, 1970).

### Auditory nerve and NM neurons encode the phase of the auditory stimulus

We report on 288 caiman auditory nerve fibers with CFs between 0.2 and 3 kHz, and on 54 alligator NM units with BFs between 0.2





**Figure 2.** Temporal coding in the auditory system of crocodylians. **A**, Vector strength in the caiman auditory nerve as a function of stimulus frequency *in vivo*. Each small dot represents the VS calculated from a minimum of 555 action potentials in response to a continuous tone. Open squares represent the median values calculated in 0.25 octave bins. Open circles are the maximum values in the same 0.25 octave bins. The solid line is a five-point moving window average of the maximum values. The dashed line represents the upper limit of the 95% confidence interval in each 0.25 octave bin. **B**, Vector strength in the alligator NM as a function of stimulus frequency ( $n = 54$ ). Open circles represent the two neurons with double-peaked period histograms. The solid line connects median values calculated in 0.5 octave bins. The y-axis is the same as in **A**. **C**, Phase-locked responses to a 100 ms auditory stimulus at best frequency ( $VS = 0.64$ , 400 Hz, 70 dB) in NM. Inset, Double-peaked period histogram from NM 450 Hz, 80 dB, spontaneous rate  $16.9 \pm 2.0/s$ . These spontaneous rates were typical for NM, with mean spontaneous rates of  $16.9 \pm 20.2/s$  ( $n = 54$ ). **D**, Constant-level frequency–rate curves for five NM units with best frequencies of 600 Hz (06.13.6.bf), 400 Hz (04.13.1.bf), 700 Hz (07.02.1.bf), 1400 Hz (05.03.4.bf), and 2000 Hz (05.05.2.bf). Error bars represent SE. **E**, Example of primary-like response in NM (01.01.6.itd) with a PSTH at a best frequency of 500 Hz and at 75 dB SPL. **F**, Representative constant intensity frequency–rate curves for NM neurons with best frequencies between 300 and 1200 Hz. Error bars represent SE.

and 2.1 kHz (Fig. 2*A,B*). In caiman nerve, data points were selected from stimulation near the CF (within  $\pm 0.1$  octave), with levels at least 20 dB above CF-rate threshold. Phase locking decreased with increasing best frequency but remained statistically significant throughout the range tested (0.2–2.1 kHz). In alligator NM, phase locking decreased with increasing best frequency, and similarly remained statistically significant throughout the range tested (Fig. 2*B*). Vector strengths at the lowest frequencies tested (0.2–0.4 kHz) were occasionally degraded because of double spiking evident as two evenly spaced peaks within the phase histogram (Fig. 2*C*, inset) (peak splitting). The highest vector strengths,  $\sim 0.95$ , were observed between 200 and 500 Hz. Vector strength then declined fairly steeply between 500 Hz and  $\sim 1.5$

kHz, although two additional units with significant vector strengths were recorded at  $\sim 2$  kHz. Vector strength also showed a large variation that could not be attributed to peak splitting, and it is possible that units recorded in NM included presynaptic auditory nerve fibers. No tests were performed to differentiate between these two possibilities, although the locations of lesions showed that most recordings were within the cellular region of NM. Units were confirmed to be in NM either by the presence of lesions ( $n = 2$ ) or by biotinylated dextran injections in NM ( $n = 5$ ). In most experiments, lesions were made below NM, in the NL cell body layer (see below, also Fig. 7*G*), and locations within NM were inferred by measurements of recording depth. In those experiments in which the cerebellum was removed, NM was also identified by dorsal midline bulges on either side of the ventricle.

Alligator NM neurons were monaural and responded in a primary-like manner. The short intervals of the initial burst, and the high level of tonic firing, may be seen in a peristimulus time histogram (Fig. 2*E*). Spontaneous firing rates in NM were low, being  $2.6 \pm 3.8$  spikes/s ( $n = 71$ ), in contrast to those measured for avian NM [chicken 86 spikes/s (Salvi et al., 1992); barn owl 219.4 spikes/s (Köppl, 1997a)], although it should be noted that different anesthetic regimens were used for each study. Best frequencies in NM ranged from 0.2 to 2.2 kHz (Fig. 2*D*) and thresholds ranged widely, even within a given ear [responses above spontaneous rate elicited at  $41 \pm 14.6$  dB ( $n = 31$ )] (Fig. 2*F*). These values were, however, consistent with auditory brainstem response thresholds of 36–38 dB between 1000 and 1500 Hz (Higgs et al., 2002).

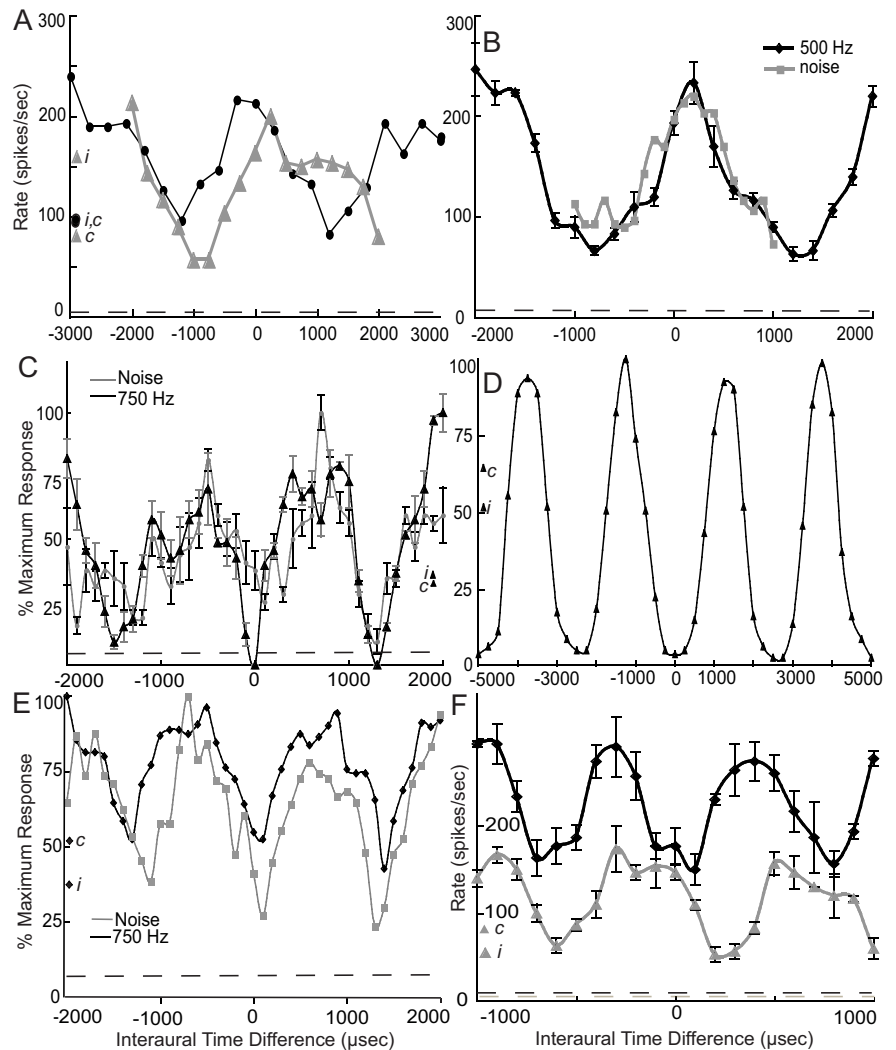
#### Alligator NL neurons are sensitive to ITD *in vivo*

ITD-sensitive responses were recorded from 73 NL neurons with best frequencies between 200 and 1500 Hz (Fig. 3). Three recordings at  $\sim 2000$  Hz showed poor ITD tuning. Sixty-three recordings were single units, and 10 were neurophonic recordings (Köppl and Carr, 2008). All neurons were binurally excited, and shown to be in NL by the presence of lesions either within or close to the cell body layer of NL ( $n = 14$  lesions in 8 animals). In all cases we measured best frequency and determined best ITD. Where possible, we recorded each neuron's responses to three stimulus sets. For the first set, we recorded responses to ipsilateral and contralateral clicks to determine whether click delay differences predicted best ITD (55/73). We then recorded phase-locked responses to monaural and binaural tonal stimuli at or near BF to measure monaural phase locking and binaural sensitivity to ITD (46/73). Finally, ITD sensitivity was measured at several different frequencies to calculate characteristic delay (21/73).

Selectivity to ITD was found in all single-unit and neurophonic recordings in NL (Fig. 3). Examples in Figure 3 show the responses of seven neurons and one neurophonic recording with different best frequencies, and plot the number of spikes evoked as a function of the ITD at or close to the neuron's best frequency. The responses of NL neurons varied in a cyclic manner with the ITD of a sound stimulus, and the period of the ITD response function matched that of the stimulus tone. Neurons were sensitive both to tones around best frequency and to broadband noise (Fig. 3*B, C, E*). Most single-unit ITD curves were not as smooth as those observed in birds and mammals, while neurophonic ITD curves were generally smoother (Fig. 3*D*). The effect of increased numbers of stimulus repetitions was tested in two units; the resulting ITD curves became smoother although best ITD did not shift in these examples (supplemental Fig. 1, available at [www.jneurosci.org](http://www.jneurosci.org) as supplemental material). Responses to the least favorable delay dropped below the level of the monaural responses, although not below the generally low level of spontaneous activity (median 16 spikes/s).

### Monaural phase sensitivity predicts best ITD in laminaris neurons

NL neurons phase locked to the auditory stimulus and could be driven by both monaural and binaural stimulation (Fig. 4*A*; see Fig. 8*A, B*). The phase of each monaural response reflected the delay between the ear and the recording point. When an equal but opposite interaural delay nullified the internal delay, the two phase-locked responses coincided to produce a large binaural response (Fig. 4*A, B*). A fundamental tenet of coincidence detection mediated ITD detection is that the phase difference between the monaural phase-locked responses should equal the best ITD. This observation holds for the neurons in the alligator NL, which phase locked to stimulation of either contralateral or ipsilateral ears. Generally, inputs were not exactly matched in strength (see Fig. 8*A–C*). These differences did not, however, reflect a consistent difference between ipsilateral and contralateral inputs, since ipsilateral inputs evoked  $\sim 54 \pm 17\%$  ( $n = 32$ ) of the response. Comparison of the ipsilateral and contralateral period histograms showed that differences in mean phase predicted the best ITD for 46 neurons (Fig. 4*D*). The mean ITD predicted from these mean phase comparisons was large, being  $504 \pm 285 \mu\text{s}$  ( $n = 46$ ), with a median interaural phase difference (IPD) of 0.29 cycles. Phase differences between the two ears were generally such that, to bring peaks into coincidence, the stimulus to the ipsilateral ear must be delayed with respect to the contralateral ear. This "predicted ITD" was compared with the

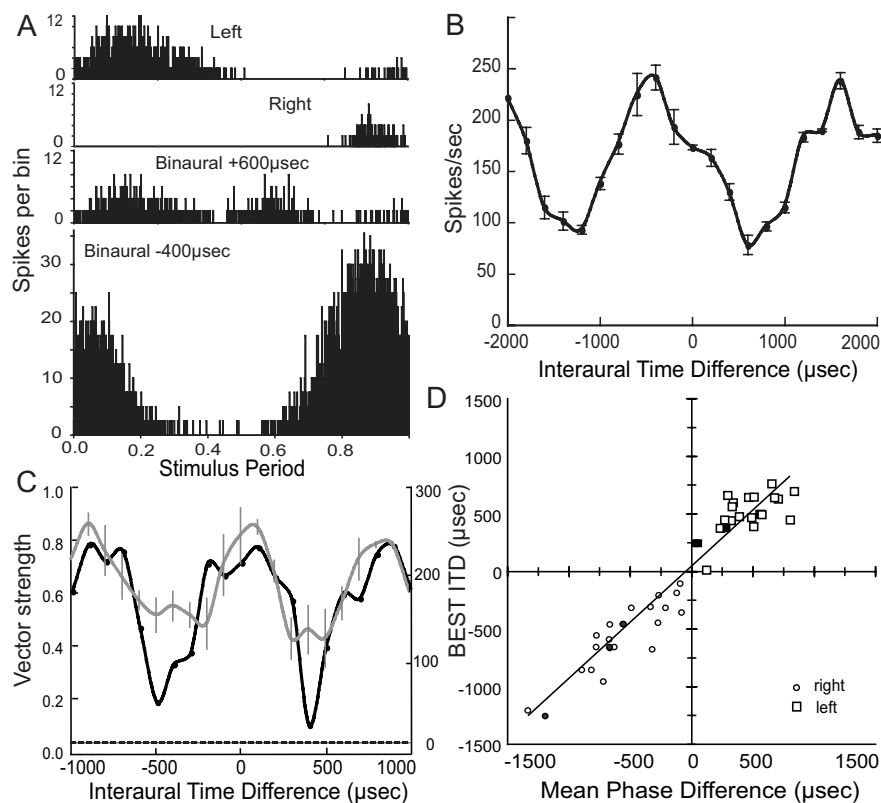


**Figure 3.** Interaural time difference sensitivity in NL *in vivo*. Interaural delay curves plot the response of NL neurons against changing ITDs. Seven single-unit and one neurophonic recording were selected to display a range of different responses, including best frequency, spontaneous rate (dashed lines), and maximum peak-to-trough height. The numbers of spikes in *C–E* were normalized to the maximum response because they show the same unit's response to tones and noise. Error bars are  $\pm 1$  SE. **A**, Two low-best-frequency units 10.07 (300 Hz, 80 dB, left side NL, best ITD of 777  $\mu\text{s}$ , gray triangles) and 12.01 (350 Hz, 60 dB, right side, best ITD of 200  $\mu\text{s}$ , black circles, low spontaneous activity). **B**, Unit 4.02 (500 Hz, 70 dB, right, best ITD  $-200 \mu\text{s}$ ) showed similar tuning for both best-frequency stimulation and for broadband noise. The *y*-axis is the same as in **A**; no monaural rates are shown. **C**, Unit 4.31, 750 Hz, left, 80 dB, best ITD of 765  $\mu\text{s}$  with normalized tuning to BF (gray circles) and broadband noise (black triangles). Note the irregular curves; these are smoother with increased stimulus durations and increased numbers of repetitions. **D**, Normalized neurophonic responses (unit 5.10), 450 Hz, right, largest recorded best ITD of  $-1250 \mu\text{s}$ . Note the smoother ITD curves and large difference between the minimum and maximum rates. The *y*-axis is the same as in **C**. **E**, Unit 4.43 (750 Hz, 80 dB, left, best ITD of 650  $\mu\text{s}$ ) showed similar ITD tuning to BF tones and broadband noise. Note that this unit was recorded in an adjacent, more caudal position than unit 4.31. **F**, Two of the highest-best-frequency units, 11.01 (black diamonds, 1200 Hz, 60 dB, right, best ITD of  $-100 \mu\text{s}$ , no monaural rates shown) and 13.01 (gray triangles, 60 dB, right, 1220 Hz, best ITD of  $-310 \mu\text{s}$ ).

"observed best ITD" obtained from the ITD curves. Observed and predicted peaks were well correlated ( $y = 0.90x - 45.5$ ,  $r^2 = 0.90$ ), and were generally centered around and contralateral to zero ITD, between 0 and 1200  $\mu\text{s}$ , i.e., predominantly in the contralateral sound field. Vector strengths varied with ITD, and were highest for favorable ITDs (Fig. 4*C*).

### Click responses predict best ITD

Click stimuli were used to measure the conduction time from the ear to the point of recording in NL. We used the arrival of the first wave front or signal front delay as a measure of latency, and either



**Figure 4.** Period histograms. **A**, Period histograms for NL neuron 03.05 (500 Hz), showing monaural responses to contralateral (left ear, mean phase 0.181, VS = 0.8, top), ipsilateral (right ear, mean phase 0.897, VS = 0.96), and binaural stimulation near worst (+600  $\mu$ s) and best (-400  $\mu$ s) ITDs. The interaural delay curve for 03.05 is shown in **B**. **B**, Interaural delay curve for unit 03.05 obtained from tonal stimulation at 500 Hz. **C**, Plot of changes in vector strength (black line) with ITD for unit 11.01 (gray) (best ITD +20  $\mu$ s, 930 Hz, right). **D**, Differences between left and right mean phase predicted best ITD. Open squares, units in left NL; open circles, right NL; filled symbols, neurophonic recordings. A regression line was drawn for all data ( $y = 0.98x + 33.2$ ,  $r^2 = 0.93$ ,  $n = 46$ ).

used the single units' responses or averaged waveforms to calculate click delay differences. We analyzed phase delay data to determine whether this measure of delay reflected the best ITD. Clicks were repeated 128 times, and evoked precisely timed action potentials in well isolated units (Fig. 5B; inset shows ITD from same neuron), or oscillatory responses from NL neurophonics or less well isolated units (Fig. 5C). We could typically distinguish several peaks, with the first response peak, often barely visible in individual traces, but reliably detected by a detection algorithm, taken as the signal-front delay. We determined the phase delay from the time of occurrence of the first large peak (Fig. 5B), and calculated click time difference by subtracting the phase delay of the leading click from the following click. These click delays were also useful in determining the leading ear for mean phase responses. Like the mean phase differences, interaural click delays were well correlated with best ITD ( $y = 0.97x - 18.73$ ,  $r^2 = 0.89$ ) (Fig. 5A). The mean ITD predicted from these click difference comparisons was  $425 \pm 302 \mu$ s ( $n = 55$ ).

#### Characteristic delays and best ITD

NL neurons are maximally excited when the interaural delay exactly compensates for the difference in the neural conduction times from the ears (Fig. 6). This difference in neural travel time is termed the characteristic delay (CD). We derived CDs by fitting ITD curves to a range of frequencies around best frequency to cosine functions in which peak positions could be precisely measured (Peña et al., 1996; Viete et al., 1997). Plotting the mean

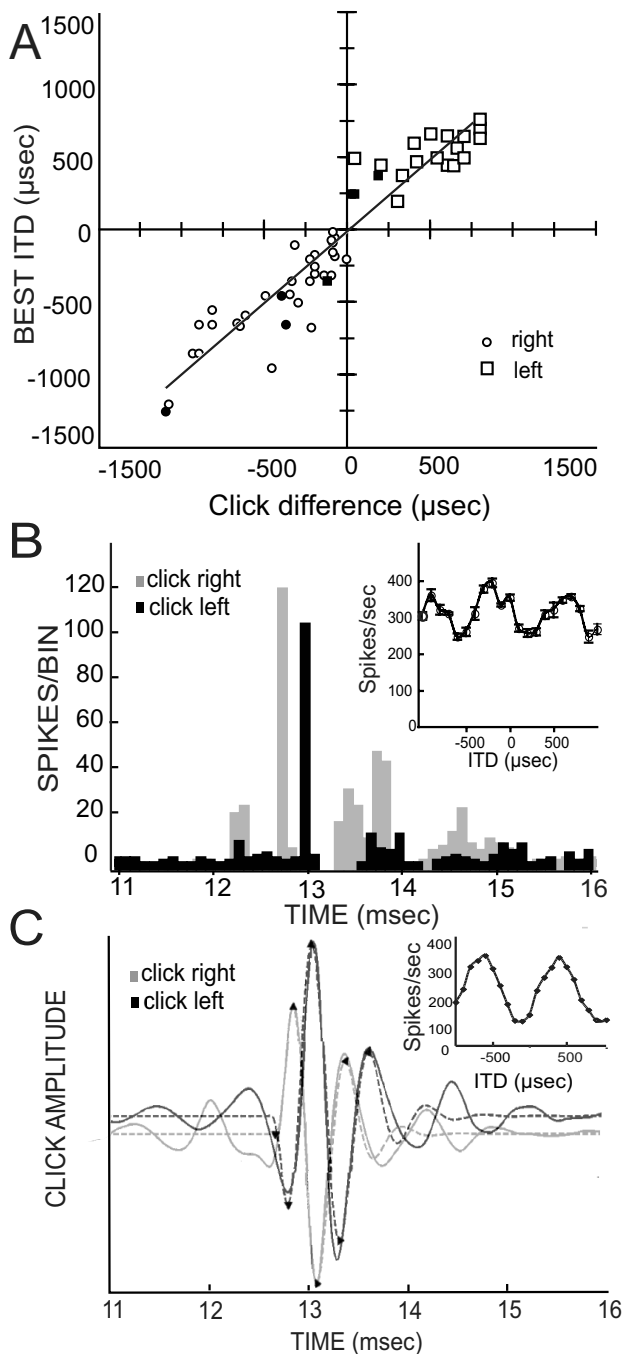
interaural phase against frequency yields a line whose slope is the frequency-independent ITD or CD (Rose et al., 1966; Yin and Kuwada, 1984). This procedure generally works well for ITD curves for low-frequency-sensitive neurons (Yin and Chan, 1990), although in approximately half the cases in the alligator, ITD curves were sufficiently irregular to preclude computation of CD. Figure 6 illustrates four examples, a single unit with BF of 1050 Hz (one of the highest frequencies recorded) and three other units with CFs near 700–900 Hz. Characteristic delay predicted best ITD ( $y = 0.97x - 142$ ,  $r^2 = 0.77$ ) although the relationship was not as tight as that of mean phase and click differences (Fig. 6E). Mean ITD from the characteristic delay measures was  $427 \pm 308 \mu$ s ( $n = 21$ ).

The tendency of each neuron to be maximally or minimally excited at the same ITD at different frequencies was quantified by measuring its CP. The CP was obtained from the intercept of the fitted line with the ordinate (Yin and Kuwada, 1983). A CP near 0 cycles (Fig. 6A, C, D) indicated that the neuron was of the peak type (the peaks of the ITD plots were more coincident than the troughs), whereas a CP of 0.5 indicated a trough type. Figure 6B shows an intermediate CP value of 0.28, termed a "sloper," i.e., characterized by delay curves that did not align at either the peak or the trough, but rather at an intermediate point (Batra et al.,

1997). To assess how well ITD-sensitive units could be divided into peak- and trough-type categories, we examined the distribution of CP (Fig. 6, insets). Characteristic phases were expressed on a scale from -0.5 to +0.5 cycles and covered nearly that whole range (-0.45 to +0.38,  $n = 21$ ). Their distribution was not uniform, with all but three values falling within  $\pm 0.3$ . The median CP was -0.16. This means that in the majority of cases, the CD fell near a peak in the ITD responses, as for the examples shown in Figure 6. Nevertheless, only half (11 units) had CPs close ( $\pm 0.1$  cycles) to the peak of the ITD curve. These "peak-type" units discharged maximally near a particular ITD across frequencies, consistent with an excitatory mechanism, like that observed in avian NL and mammalian MSO. Units with CPs closer to 0.5 suggest the presence of additional inhibitory interactions, either at the periphery or in the projections to NL.

#### NL neurons encode locations in the contralateral hemifield; range of best IPDs and ITDs

In each NL, most neurons responded maximally to sounds leading in time at the contralateral ear (positive ITDs). On the right-hand side, one response was recorded with an ipsilateral leading best ITD near 0  $\mu$ s. The larger spread in ITDs recorded on the right side may be because more neurons ( $n = 28$  left,  $n = 45$  right) were recorded over a greater expanse of NL (summary plots in Figs. 4D, 5A). In general, maximal responses were evoked by large ITDs, with a median best ITD of 450  $\mu$ s, outside the presumed physiological range of the alligator studied here. Best



**Figure 5.** Monaural click responses predict best ITDs. **A**, Differences between left and right clicks predicted best ITD. Open squares, Units recorded in left NL; open circles, right NL; filled symbols, neurophonic recordings. A regression line was drawn for all data ( $y = 0.97x - 16.76$ ,  $r^2 = 0.89$ ,  $n = 55$ ). **B**, PSTH histograms for responses to ipsilateral (gray, right) and contralateral (black, left) clicks of a single unit 2.11 of CF = 500 Hz. The bin width is 0.1 ms. The latency difference was 200  $\mu$ s in this case. Inset, Best ITD at CF. **C**, Response waveforms to monaural click stimulation of neurophonic unit 14.48 with BF = 950 Hz. Thin lines show the averaged waveform in response to 500 condensation clicks; dashed lines represent the gammatone fit to the high-pass-filtered response, which was used to determine the latency of the initial four minima and maxima [labeled with black triangles (see Wagner et al., 2005)]. The ipsilateral response is shown in gray, the contralateral one in black. The latency difference between ipsilateral and contralateral response maxima was 230  $\mu$ s. Inset, Best ITD at CF.

ITDs ranged from  $-50$  to  $+1250$   $\mu$ s (Fig. 7A), while best IPDs ranged from  $-0.05$  to  $+0.77$  cycles (Fig. 7B) (median  $+0.280$ ; positive values indicating contralateral leading, negative values indicating ipsilateral leading). Note that best IPDs beyond  $\pm 0.5$

cycles were identified by monaural click responses and/or the CD, both of which provide information about laterality (Köppl and Carr, 2008). Sixteen single units and one neurophonic recording had best IPDs beyond 0.5 cycles (Fig. 8D,E). Recordings from the alligator NL show hardly any representation in the ipsilateral hemifield, and a much wider distribution than the available biological range in the contralateral hemifield, unless the range is much larger than that predicted by the interaural distance. The distribution of best ITDs was strikingly different from that observed in the chicken or gerbil (Fig. 7D,E). In the chicken, the mean characteristic delay distribution is, like that of the gerbil, peaked near 90  $\mu$ s (Pecka et al., 2008). Despite the broad distribution of best ITDs and IPDs in the alligator, lesion locations were consistent with a map of ITD, in that medial lesion locations were closer to 0  $\mu$ s (median 200  $\mu$ s) than lateral lesions (median 500  $\mu$ s) (Fig. 7F).

### NL neurons show no significant correlation of frequency difference and best ITD

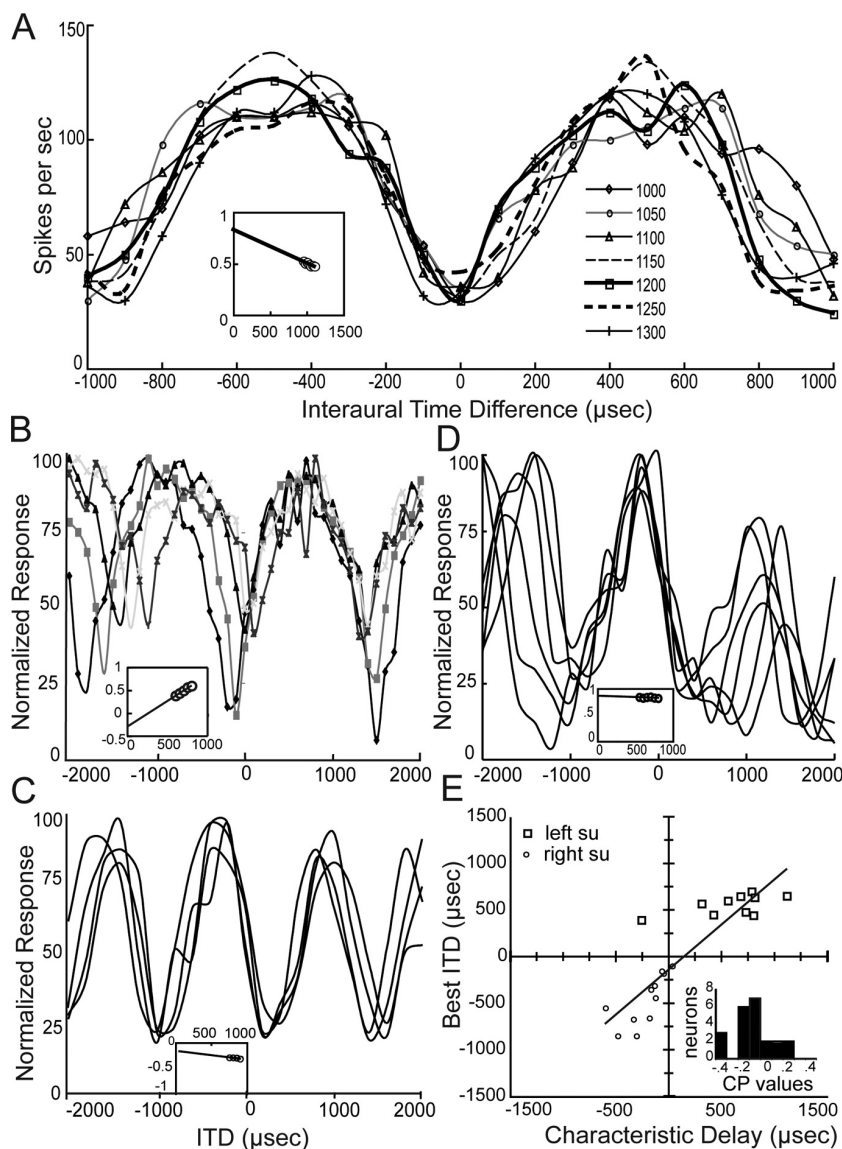
The stereausis theory assumes that differences in propagation time along the basilar membrane can provide the necessary delays, if the coincidence detectors receive input from fibers innervating different loci on the left and right basilar membranes (Shamma et al., 1989; Peña et al., 2001). If this theory applies, differences in the frequency tuning of the left and right inputs to coincidence detectors should predict best ITD. The two inputs to alligator NL neurons were not always matched in frequency (Fig. 8A), but best ITDs were not correlated with the interaural frequency mismatches (Fig. 8C). Largest ITDs should have the biggest frequency mismatch, but no significant correlation was observed between frequency difference and best ITD ( $y = -0.068x + 30.16$ ,  $r^2 = 0.05$ ). Best ITDs did show less scatter with increasing BF for all recordings (Fig. 8D), while IPDs did not (Fig. 8E). Furthermore, some ITD values were larger than  $\pm 0.5$  cycles, the “ $\pi$ -limit.” There was no systematic change of best IPD with BF (Fig. 8E), while best ITD values showed an increasing range with decreasing BF (Fig. 8D).

### In vitro physiology and models of coincidence detection

To determine whether the mechanisms of crocodilian ITD detection were similar to those of birds, we developed a model based on a simulation of ITD detection in chicken and barn owl (Grau-Serrat et al., 2003), but with specific crocodilian modifications (Soares et al., 1999). Phase-locked inputs from caiman auditory nerve and alligator NM were used to construct plausible models of input sequences for the model (Fig. 2). Since measurements from caiman auditory nerve were substantially in agreement with the smaller dataset recorded from alligator NM, we used the NM dataset to constrain the vector strength of the inputs to the model of coincidence detection in the crocodilian NL. Inputs were modeled as an inhomogeneous Poisson process with the vector strengths of  $VS = 0.925 - 0.3f$  (where  $f$  is frequency in kilohertz), which is the best linear fit to the NM data shown in Figure 2B (e.g., 0.82 at 350 Hz and 0.62 at 1 kHz).

Biophysical data were provided by *in vitro* studies of alligator embryos. Transverse slices revealed that NL neurons were arranged tonotopically along the longitudinal axis of the nucleus from the high-best-frequency rostromedial region to the low-frequency caudolateral region (Figs. 1, 9A). The dendrites of NL neurons were directed dorsally and ventrally and received excitatory inputs from the ipsilateral or contralateral NM, respectively (Fig. 9B). Dendritic length changed systematically along the tonotopic axis, with neurons in the low-frequency region having





**Figure 6.** Characteristic delay and sensitivity of NL neurons to interaural temporal disparities. These neurons generally exhibited peak-type sensitivity to ITDs, in that the maximal response occurred at approximately the same ITD at all frequencies. To calculate CD, cosine fits were made to the responses at all frequencies, and discharge rates normalized for each frequency (Köppel and Carr, 2008). In all examples, insets show plots of mean phase versus frequency obtained from the data shown in each figure. The slope of the line represents CD, and the  $y$ -intercept, the CP. **A**, Unit 014.01.9, right hand side (RHS), BF 1065 Hz. Mean discharge rate (symbols) is shown as a function of ITD for stimulation at seven different frequencies around BF, as indicated in the legend. Each curve was fit with cosine for all frequencies tested, to yield a CD of  $-324 \mu\text{s}$ . Inset, Values for CP and CD are  $y = -324x + 0.83$ ,  $r^2 = 0.81$ . **B**, Unit 4.43.8, left, BF 700 Hz. Mean discharge rate (symbols) is shown as a function of ITD for stimulation at five different frequencies between 600 and 800 Hz. Each curve was fit with cosine at all frequencies tested. The CD value from this phase–frequency plot was the largest measured,  $1119 \mu\text{s}$ . Inset, Values for characteristic phase and characteristic delay are  $y = 1119x - 0.28$ ,  $r^2 = 0.99$ . The  $y$ -axis is the same for **B–D**. **C**, Unit 6.04.6.itd, RHS, 850 Hz. Mean discharge rate (symbols) is shown as a function of ITD for stimulation at five different frequencies between 750 and 900 Hz. The CD value ( $-169 \mu\text{s}$ ) was derived from the phase–frequency plot. Inset, Values for CP and CD are  $y = -169x - 0.155$ ,  $r^2 = 0.81$ . **D**, Unit 6.05.6.itd, RHS, 700 Hz, from an adjacent penetration as the unit in **C**. Mean discharge rate (symbols) is shown as a function of ITD for stimulation at six different frequencies between 550 and 800 Hz. The CD value ( $-51 \mu\text{s}$ ) was derived from the phase–frequency plot. Inset, Values for characteristic phase and characteristic delay are  $y = -51x + 0.869$ ,  $r^2 = 0.22$ . **E**, Characteristic delays were correlated with best ITD. Note that, unlike click and mean phase differences, there was one CD measure ( $-258 \mu\text{s}$ ) that did not match the best ITD for the same unit ( $392 \mu\text{s}$ ). Open squares were neurons recorded in the left NL and open circles in the right. A regression line was drawn for all data ( $y = 0.97x - 142.3$ ,  $r^2 = 0.77$ ).

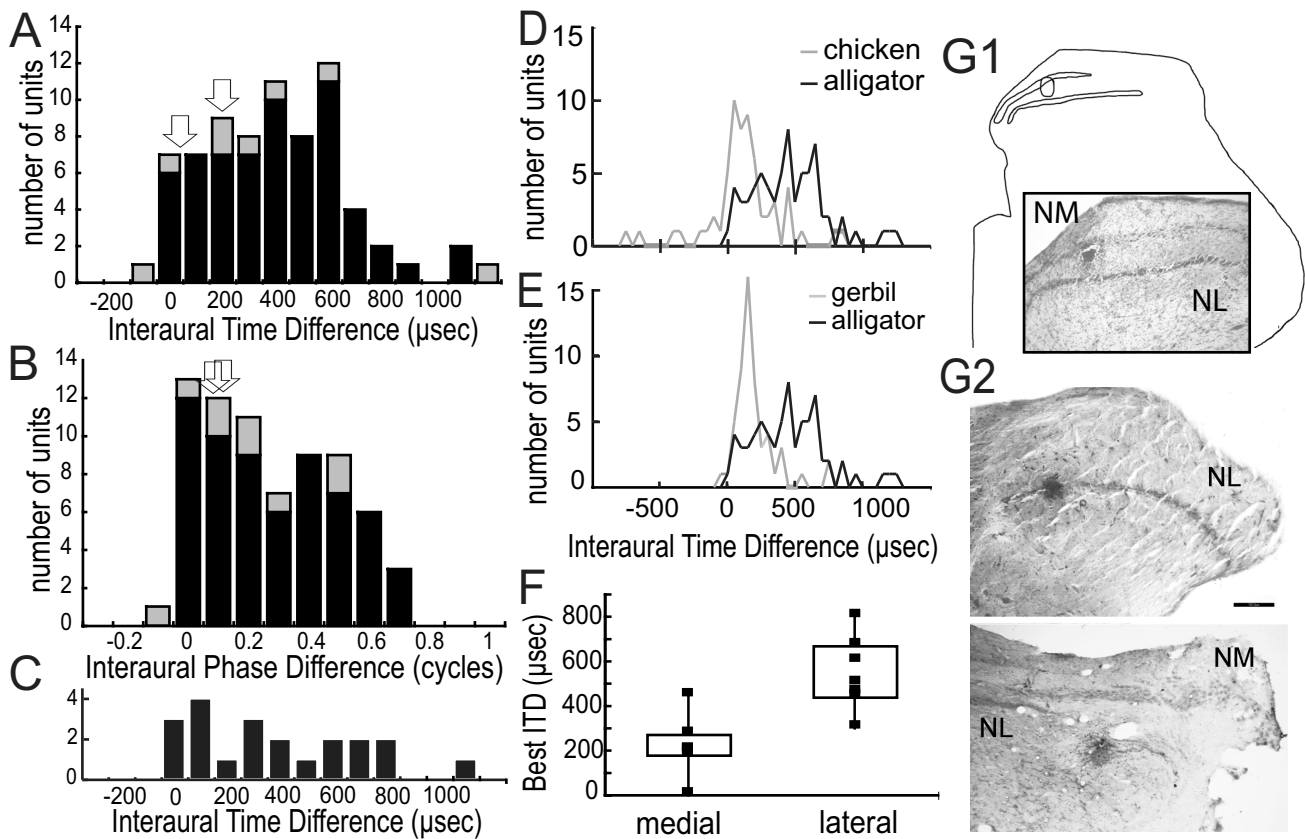
longer, more branched dendrites, while those in the high-frequency region were shorter and less branched (our unpublished data). In the slices, we recorded from neurons without particular consideration of their location. Using the KGLu intra-

cellular medium, mean resting potentials of  $-60.8 \pm 1.9 \text{ mV}$  ( $n = 19$ ) were attained immediately after starting whole-cell recording. When a suprathreshold depolarizing current was injected in the NL neurons, the cell generated a single action potential at the onset of membrane depolarization, as do similar neurons in birds and mammals (Reyes et al., 1996; Smith, 1995) (Fig. 9A). The steady-state voltage responses showed outward-going rectification, and a prominent sag at hyperpolarized membrane potentials (Reyes et al., 1996; Funabiki et al., 1998). Electrical stimulation of ipsilateral or contralateral projections from NM evoked subthreshold EPSPs (Fig. 9C). The shape of a single EPSC was modeled as an  $\alpha$  function with a time constant of 0.25 ms and a peak conductance of 0.007 mS, consistent with these recorded EPSPs. For the model, the firing rate of a single NM fiber was set at  $\sim 100$  spikes/s (Fig. 2D,F). Based on a synapse count from exemplar low-, medium-, and high-best-frequency NL neurons, the estimated number of synapses per dendrite ranged from 40 for the highest CF model neuron up to 100 for the lowest CF model neuron (C. E. Carr and D. Soares, unpublished observations). The model assumed an axonal fan-out ratio of 4, meaning each excitatory NM axon makes four synapses on each NL cell it innervates, e.g., the number of independent NM fibers ranged correspondingly from 10 to 25. Leak conductance was set to  $42 \text{ mS/cm}^2$  everywhere (except for the myelinated portion of the axon, which was set to  $0.8 \text{ mS/cm}^2$ ), consistent with the measured input resistance of  $140 \text{ M}\Omega$  (Fig. 9, legend). The temperature was set to  $25^\circ\text{C}$ , except where noted below. Finally, the minimum inter-EPSP interval was set to 1.2 ms.

At low frequencies, the model NL neurons fire poorly when their ipsilateral and contralateral inputs are out of phase, gradually firing more at higher frequencies (Fig. 9, out-of-phase firing rate). NL neurons fire strongly when their ipsilateral and contralateral inputs are in phase, the hallmark of a good ITD-sensitive coincidence detector. At higher frequencies, model NL firing rates decrease, even when their ipsilateral and contralateral inputs are in phase, meaning that their ability to discriminate between ITDs worsens. Discrimination is good until  $\sim 1 \text{ kHz}$ , an octave lower than in the related chicken model (Grau-Serrat et al., 2003), consistent with both the smaller leak conductance and the longer EPSP duration observed in alligator *in vitro* recordings. Interestingly, the model could not discriminate ITDs (except at the very lowest frequencies) when the synapses on each dendrite arose from

longer, more branched dendrites, while those in the high-frequency region were shorter and less branched (our unpublished data). In the slices, we recorded from neurons without particular consideration of their location. Using the KGLu intra-





**Figure 7.** Distributions of best ITD and IPD. Best ITD was distributed throughout the contralateral hemifield. **A, B**, Distribution of best ITD (**A**) and best IPD (**B**), shown stacked for single units (black bars) and neurophonic recordings (gray bars). The bin width is 100  $\mu$ s for ITD and 0.1 cycles for IPD ( $n = 73$ ). **A**, The mean best ITDs for similar distributions for chicken (90  $\mu$ s) (Köpl and Carr, 2008) and gerbil (246  $\mu$ s) (Pecka et al., 2008) are marked with open arrows. **B**, Best IPDs for alligator with open arrows marking the mean best IPD for gerbil of  $0.17 \pm 0.08$  cycles (Pecka et al., 2008) and median IPD for chicken of 0.13 cycles (Köpl and Carr, 2008). **C**, Distribution of characteristic delay in alligator ( $n = 21$ ). **D**, Distribution of best ITD in chicken and alligator. Note that the chicken best ITD distribution is centered in the contralateral hemifield, with a median best ITD of  $-90 \mu$ s [data from Köpl and Carr (2008), their Fig. 5]. The graph was plotted with 50  $\mu$ s bin widths; x-axis and scale are the same as in **E**. **E**, Distribution of best ITDs in gerbil and alligator. Note that the gerbil single-unit best ITD distribution is centered in the contralateral hemifield, with a mean best ITD of 173  $\mu$ s [data from Pecka et al. (2008), their supplemental Fig. 2]. **F**, Box-and-whisker plot showing best ITDs of neurons in the medial and lateral NL as identified by lesions (filled squares). Lesions were divided into medial and lateral groups. **G1**, Diagram of a transverse section through NM and NL showing an outlined lesion in NM. Inset, Photomicrograph of the same section. The magnification is the same as in **G2**. **G2**, Photomicrographs of transverse sections through NL showing a rostralateral lesion in NL (top) and the most caudal and medial lesion, located below NM (bottom). Scale bar, 500  $\mu$ m.

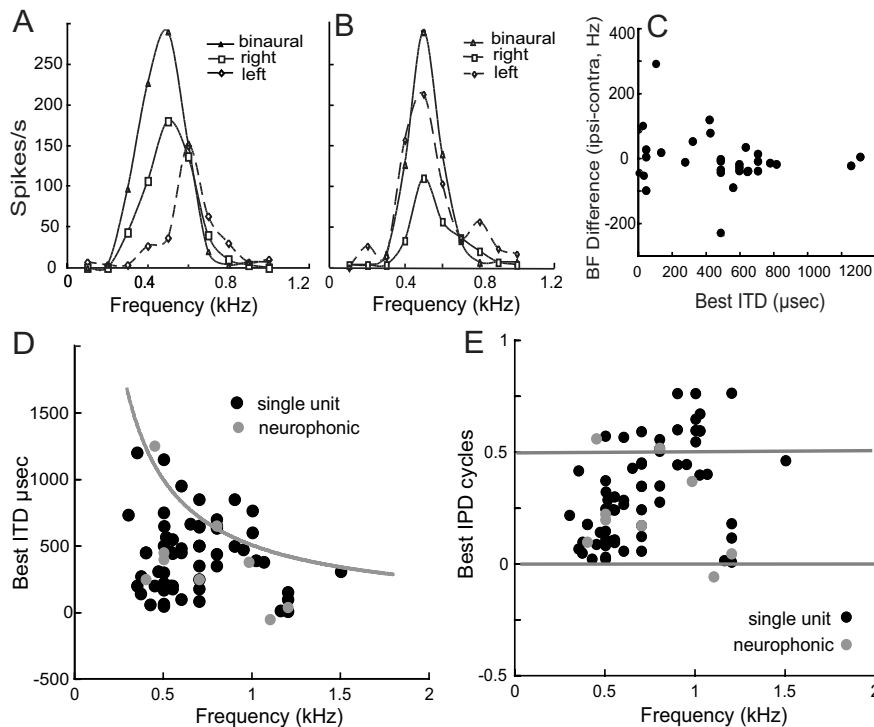
independent fibers, indicating that the synaptic redundancy is critical, and predicting that each NL neuron received more than one synapse from each NM neuron. This is consistent with the similar effect seen experimentally in chicken NL *in vitro* (Reyes et al., 1996). The model was also run at two additional temperatures, 15°C and 35°C, as representative of a plausible range of operating temperatures [Q10 values ranged from 2 to 3, as in Grau-Serrat et al. (2003); see supplemental Fig. 2, available at www.jneurosci.org as supplemental material]. Relative to 25°C, at 35°C the in-phase firing rates grow, giving overall improved ITD discrimination, especially at the higher frequencies, consistent with our *in vivo* results. As part of the same trend, relative to 25°C, at 15°C the in-phase firing rates shrink, giving overall poorer ITD discrimination, again especially at the higher frequencies. This implies that maintaining higher temperatures, when possible, may give an overall advantage for sound localization.

## Discussion

Crocodylians are successful predators that hunt on both land and water. Eyes located on top of their heads provide 25° of binocular vision to aid in localizing prey (Fleishman et al., 1988). They also have specialized epidermal sensory organs that detect small disruptions in the surface of the surrounding water (Soares, 2002).

We show here that crocodylians have well developed neural circuits for encoding ITD. The neurons in NL act as coincidence detectors, both *in vivo* and *in vitro*, and encode ITD. Behavioral evidence of sound localization is lacking, but most crocodylians are nocturnal hunters, and produce a loud roaring call (Todd, 2007). Furthermore, females can localize the contact calls made by their young (Hunt and Watanabe, 1982; Passek and Gillingham, 1999), and so we expect that sound source localization is behaviorally relevant to this group.

Sound localization is evolutionarily important, although not all animals localize sound well. In mammals, threshold acuities range from  $\sim 1^\circ$  for elephants and humans to  $>25^\circ$  for gerbils and horses (Heffner and Heffner, 1992a). A similarly wide range has been found for birds, with best acuities of  $\sim 3^\circ$  in the barn owl (Bala et al., 2003). Heffner and Heffner (1992a,b) proposed that variation in acuity in mammals is best accounted for by the requirement for visual orientation to sound, in that species with broad fields of best vision require less accurate information than foveate species. This hypothesis has not been tested in birds or crocodylians, since fewer data are available for localization and gaze direction in birds (Klump, 2000; van der Willigen et al., 2002), and there are none for crocodylians.



**Figure 8.** Relationships between best frequency and ITD. **A**, Isointensity rate functions from ipsilateral and contralateral and binaural stimulation of unit 4.24. The BFs of the responses to ipsilateral and contralateral stimulation do not precisely match (see **C**). **B**, Isointensity rate functions from ipsilateral and contralateral and binaural stimulation of unit 4.39. The BF of the responses to the two inputs were well matched but the inputs were not of equal strength. **C**, Differences in the ipsilateral and contralateral center frequencies of 31 NL neurons are plotted against their best ITDs (filled circles). For the stereausis model to apply, the largest ITDs should have the biggest frequency mismatch, which was not seen in this dataset. There was no significant correlation of frequency difference and best ITD ( $y = -0.068x + 30.16$ ,  $r^2 = 0.05$ ). Median differences were 13 Hz ( $n = 29$ ) for the paired BFs. **D**, Best ITD showed less scatter with increasing BF for all single units (black circles) and neurophonic recordings (gray circles). The gray line follows ITD values corresponding to 0.5 cycles, the “ $\pi$ -limit.” **E**, Best IPD as a function of BF, in the same format as **D**.

### Implementation of Jeffress model

Both avian and crocodylian auditory circuits appear to conform to the requirements of the Jeffress model (Jeffress, 1948; Joris et al., 1998; Grothe et al., 2005). The auditory nerve and NM phase lock to sound in birds and crocodylians (Köppl, 1997b), while NM’s target neurons in NL act as coincidence detectors for both tones and noise. Internal delays, equal and opposite to interaural delays, characterize barn owls (Carr and Konishi, 1990; Peña et al., 2001), chickens (Overholt et al., 1992; Funabiki et al., 1998; Köppl and Carr, 2008), and alligators (this study). Best delays in NL are such that neurons respond maximally to sound sources in the contralateral hemifield. Similarly, contralateral click delays are longer than ipsilateral (Wagner et al., 2005; Köppl and Carr, 2008). Thus, the axonal delays from NM appear sufficient to account for the range of observed ITDs. Cochlear disparities or stereausis are an alternative to axonal delays (Shamma et al., 1989; Peña et al., 2001), but when frequency tuning of alligator NL neurons was compared for ipsilateral and contralateral stimulation, best ITDs were not correlated with the interaural frequency mismatches.

ITD sensitivity emerges from phase-locked excitatory inputs in birds (Carr and Konishi, 1990; Ashida et al., 2007; Köppl and Carr, 2008), and mammals (Goldberg and Brown, 1969; Yin and Chan, 1990; Spitzer and Semple, 1995; Batra et al., 1997; Batra and Yin, 2004; Svirskis et al., 2004; Zhou et al., 2005). Simultaneous arrival of the signals from the two ears produces maximal excitation so that peak-type neurons act as coincidence detectors.

Most alligator NL neurons had CPs clustered near zero (median  $-0.16$  cycles) (Fig. 6E), as would be expected from coincidence detection between excitatory inputs (Yin and Kuwada, 1983), and consistent with the results of our model of coincidence detection. There were, however, three neurons with CPs closer to 0.5, suggesting the presence of additional inhibitory interactions, either at the periphery or in the projections to NL. The role of inhibition in ITD processing is unknown in alligators, and differs between birds and mammals. In birds, GABAergic inhibition originates from the superior olive and adjusts the gain of the circuit to compensate for changes in loudness (Yang et al., 1999; Burger et al., 2005) (for review, see Hyson, 2005). Projections from the olive also act to suppress firing at bad ITDs in low-best-frequency neurons (Nishino et al., 2008). Mammals, in addition to GABAergic inhibition, have temporally precise glycinergic inhibition to the MSO that can shift ITD sensitivity (Brand et al., 2002; Grothe, 2003; Pecka et al., 2008).

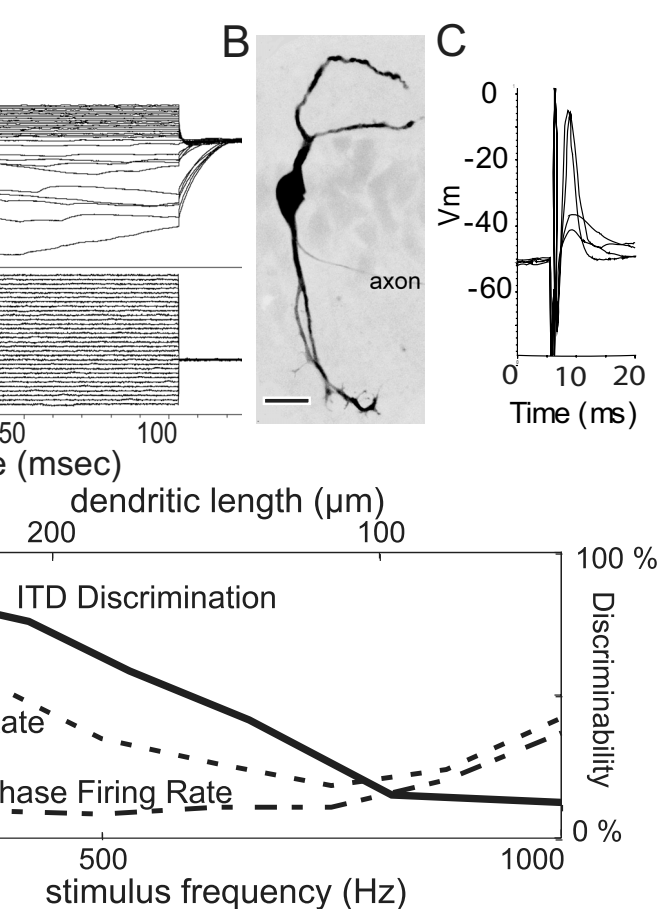
An additional feature of the Jeffress model is the systematic representation of ITD, which creates a place code of azimuthal position. There is support for a place code in the barn owl *in vivo* (Carr and Konishi, 1990) and in the chicken, both *in vitro* (Overholt et al., 1992) and *in vivo* (Köppl and Carr, 2008). Data from the alligator also support a place code, in that the distribution of lesions exhibits a trend from medially located best ITDs near 0 to laterally located best ITDs in the contralateral hemifield. The range of best ITDs is large, however, with median values of  $\sim 450 \mu\text{s}$ , compared with  $\sim 90 \mu\text{s}$  in chicken (Köppl and Carr, 2008) and  $173 \mu\text{s}$  in the gerbil (Pecka et al., 2008). Although the 2- to 3-year-old alligators had larger heads than chickens or gerbils, with ears  $\sim 3$  cm apart, many peaks of the ITD tuning curves were at very large ITDs. There are, however, no measures of the natural range of ITDs in crocodylians, and crocodylian head size increases throughout life to a head width of nearly 30 cm in alligators [estimated from maximum size data from Woodward et al. (1995)]. Furthermore, Owen (1850) has described a connection between the tympanum and palate in the Crocodylia, and writes “I forbear, with my present limited experience of the living habits and actions of the Crocodylian Reptiles, to offer any hypothesis as to the function of the complex canals which conduct the air and would convey its sonorous vibrations from the nose to the ear: but one peculiarity I may suggest, as being probably related to the structures in question, in which the Crocodiles and Gavials differ from all the Lizard-tribe, viz. that of habitually floating with the operculated meatus externus submerged, and only the eyes and the prominent nostril exposed above the surface of the water. Any noise in the air that might reach the floating reptile would, under such conditions, be conveyed to the tympanum by the canals conducting to that cavity from near the hinder opening of the long nasal passage; and it may also be remembered, that there is a peculiar valve in the Crocodiles which shuts off all communication be-

tween that passage and the mouth” [see also Colbert (1946)]. Thus, discussion of the effective interaural distances in crocodilians awaits evaluation of the role of these interaural passages in air and water, and recordings from a larger size range of animals. It is likely that crocodilian ears function as pressure difference receivers, as is also the case in birds (Calford and Piddington, 1988; Hyson et al., 1994; Klump, 2000; Larsen et al., 2006). In birds, cochlear microphonic recordings show that the interaural canal enhances interaural delay. In chicks, effective ITDs increased from  $\sim 100 \mu\text{s}$  at 4 kHz to  $\sim 180 \mu\text{s}$  at 800 Hz (Hyson et al., 1994), while Calford and Piddington (1988) studied six species with sounds as low as 500 Hz, and found effective ITDs increased by more than a factor of 3 at low frequencies. Thus the interaural canal increases the range of ITDs (and interaural level differences) at low frequencies in birds and may exert a similar effect in crocodilians. Physiological recordings from chicken NL also support “stretching” of the map of ITD at low frequencies, since the mapped range is larger in the low-best-frequency region of the nucleus (Köppl and Carr, 2008).

The presence or absence of a map of ITD is relevant, because data from gerbil MSO and guinea pig inferior colliculus have been used to support the hypothesis that MSO neurons encode locations in the azimuthal plane by a modulation of their output rate, a slope code, and not by a place code (McAlpine et al., 2001; Brand et al., 2002; McAlpine and Grothe, 2003; Palmer, 2004; Pecka et al., 2008). This dichotomous view may not be appropriate for the alligator or other archosaurs. In the barn owl, behavioral and physiological studies show that both the map of ITD created by the place code, and the information in the slope of the ITD function, may be used (Takahashi et al., 2003; Bala et al., 2007). In the chicken, the mean characteristic delay distribution is, like that of the gerbil, peaked near  $90 \mu\text{s}$ , but the distribution emerges from a place code (Young and Rubel, 1983; Köppl and Carr, 2008). The distributions of best ITD in the alligator suggest that they too could use the information in both the slopes and the peaks of the ITD curve. Furthermore, an information theoretic analysis supports Takahashi et al.’s (2003) observation that place and slope codes are not mutually exclusive strategies. Instead, the relative importance of the two tuning curve features depends on variability in the neuronal response; best encoding may transition from high-slope to high-firing-rate regions of the tuning curve with increasing noise level (Butts and Goldman, 2006).

### Evolution of binaural circuits

Archosaurs are thought to have developed binaural hearing in parallel to mammals (Grothe et al., 2005). This assumption rests on paleontological studies, which show that middle ears developed independently in the major tetrapod groups—the anurans,



**Figure 9.** Firing rate of NL model neuron and ITD discrimination as a function of best frequency. **A**, Responses of an NL neuron to a current injection series. The mean resting potential for 14 NL neurons was  $-60.8 \pm 1.9 \text{ mV}$  ( $n = 19$ ) with a mean spike height of  $79 \pm 3 \text{ mV}$  ( $n = 14$ ) for isolated spikes. The mean input resistance was  $138 \pm 67.5 \text{ M}\Omega$  ( $n = 8$ ). **B**, NL neuron labeled with Lucifer yellow. Note bifurcated dendrites. Scale bar,  $20 \mu\text{m}$ . **C**, Both EPSPs and spikes in NL neuron elicited by electrical stimulation of NM inputs. **D**, Simulated ITD discrimination decreases as a function of best frequency (along the tonotopic axis). Stimuli were presented at BF with the corresponding NM vector strength input.  $T = 25^\circ\text{C}$ ; VS curve, linear fit in Figure 1A.  $VS = 0.9248 - 0.3f$  (where  $f$  = frequency in kilohertz), consistent with *Caiman* auditory nerve. Total dendritic length per side also changes along the tonotopic axis (see upper horizontal axis). ITD discrimination summarizes the ability to discriminate between the spike rate when the inputs are coincident (in phase) and anti-coincident (out of phase), and is given by the rate difference normalized by the in-phase rate. For low frequencies, the in-phase rate is substantially higher, giving high discriminability, but for high frequencies the in-phase rate drops to nearly that of the out-of-phase rate, giving poor discriminability.

turtles, lepidosaurs, archosaurs, and mammals (Clack, 1997). The appearance of a tympanum and middle ear would have led to changes in the central auditory processing of both high-frequency sound and directional hearing (Christensen-Dalsgaard and Carr, 2008). Clack and Allin (2005) have argued that similarities between birds and crocodiles may be general archosaur synapomorphies, allowing us to hypothesize that binaural circuits sensitive to ITD also characterized the auditory systems of dinosaurs. It is likely that dinosaurs were both vocal and sensitive to low-frequency sound; regression analyses in living archosaurs of best audiogram frequency versus body mass suggest that hearing in large dinosaurs was restricted to low frequencies ( $< 3 \text{ kHz}$ ) (Gleich et al., 2005), and perhaps similar to that in extant crocodilians.

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